

(12) United States Patent

Michelson

(10) **Patent No.:**

US 8,226,652 B2

(45) **Date of Patent:**

*Jul. 24, 2012

(54) THREADED FRUSTO-CONICAL SPINAL **IMPLANTS**

(75) Inventor: Gary Karlin Michelson, Venice, CA

Assignee: Warsaw Orthopedic, Inc., Warsaw, IN

(US)

Subject to any disclaimer, the term of this (*) Notice:

patent is extended or adjusted under 35

U.S.C. 154(b) by 0 days.

This patent is subject to a terminal dis-

claimer.

(21) Appl. No.: 13/296,125

(22)Filed: Nov. 14, 2011

(65)**Prior Publication Data**

> US 2012/0053695 A1 Mar. 1, 2012

Related U.S. Application Data

(63) Continuation of application No. 12/942,671, filed on Nov. 9, 2010, now Pat. No. 8,057,475, which is a continuation of application No. 12/454,393, filed on May 18, 2009, now Pat. No. 7,828,800, which is a continuation of application No. 08/480,908, filed on Jun. 7, 1995, now Pat. No. 7,534,254.

(51) Int. Cl.

A61F 17/56 (2006.01)

(52)**U.S. Cl.** 606/60; 606/246

(58) Field of Classification Search 606/60, 606/246-252, 279; 623/17.11, 17.16

See application file for complete search history.

(56)References Cited

U.S. PATENT DOCUMENTS

350,420	Α	10/1886	Dillon
1,137,585	Α	4/1915	Craig
2.065.659	Α	12/1936	Culler

2,181,746 A	11/1939	Siebrandt		
2,243,718 A	5/1941	De G. Moreir		
2,372,622 A	3/1945	Fassio		
2,514,665 A	7/1950	Myller		
2,537,070 A	1/1951	Longfellow		
2,543,780 A	3/1951	Hipps et al.		
2,677,369 A	5/1954	Knowles		
2,774,350 A	12/1956	Cleveland		
2,789,558 A	4/1957	Rush		
2,832,343 A	4/1958	Mose		
2,842,131 A	7/1958	Smith		
	(Continued)			

FOREIGN PATENT DOCUMENTS

1 961 531 7/1970 (Continued)

OTHER PUBLICATIONS

Adams, et al.; Outline of Orthopaedics, Eleventh Edition; Trunk and Spine, p. 194.

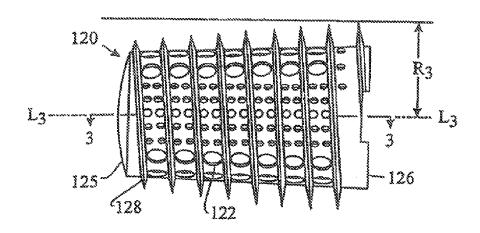
(Continued)

Primary Examiner — Michael A. Brown (74) Attorney, Agent, or Firm — Martin & Ferraro, LLP

(57)ABSTRACT

The present invention is directed to a variety of interbody spinal fusion implants having at least a partially frusto-conical configuration. An external thread is employed to increase implant stability and implant surface area, and for the purpose of advancing the spinal fusion implant into the fusion site. The spinal fusion implants of the present invention may be relatively solid or hollow and may have surface roughenings to promote bone ingrowth and stability. The spinal fusion implants of the present invention may have wells extending into the material of the implant from the surface for the purpose of holding fusion promoting materials and to provide for areas of bone ingrowth fixation.

16 Claims, 4 Drawing Sheets



US 8,226,652 B2

Page 2

II G DATENT	DOCH TO THE	4545054	10/1005	
U.S. PATENT	DOCUMENTS	4,545,374 A		Jacobson
2,878,809 A 3/1959	Treace	4,547,390 A 4,549,547 A		Ashman et al. Brighton et al.
3,128,768 A 4/1964	Geistauts	4,552,200 A		Sinha et al.
	Feinberg	4,553,273 A	11/1985	
3,426,364 A 2/1969		4,554,914 A		Kapp et al.
	Morrison	D281,814 S		Pratt et al.
	Gilbert	4,570,623 A		Ellison et al.
3,605,123 A 9/1971		4,570,624 A	2/1986	Wu
3,618,611 A 11/1971		4,592,346 A	6/1986	Jurgutis
	Halloran	4,599,086 A	7/1986	
3,719,186 A 3/1973 3,720,959 A 3/1973	Merig, Jr.	4,600,000 A		Edwards
	Sherwin	4,602,638 A	7/1986	
	Ma et al.	4,604,995 A		Stephens
3,855,638 A 12/1974		4,608,052 A		Van Kampen et al.
	Eibes et al.	4,611,581 A	9/1986	
	Stubstad et al.	4,619,264 A	10/1986 12/1986	
3,867,950 A 2/1975	Fischell	4,628,921 A 4,634,720 A		Dorman et al.
3,875,595 A 4/1975	Froning	4,636,217 A		Ogilvie et al.
	Fischell	4,636,526 A		Dorman et al.
	Neufeld	4,645,503 A		Lin et al.
3,905,047 A 9/1975		4,653,486 A	3/1987	
3,915,151 A 10/1975		4,655,777 A	4/1987	
	Peterson	4,661,536 A	4/1987	Dorman et al.
3,918,440 A 11/1975	Schulman	4,664,567 A	5/1987	Edwards
	Zaffaroni	4,665,920 A		Campbell
- , ,	Bokros et al.	4,677,883 A	7/1987	
	Scharbach et al.	4,677,972 A		Tornier
	Ziaylek, Jr.	4,693,721 A		Ducheyne
	Kawahara et al.	4,696,290 A		Steffee
	Sawyer et al.	4,698,375 A		Dorman et al.
D245,259 S 8/1977		4,710,075 A 4,713,004 A	12/1987	Linkow et al.
4,051,905 A 10/1977	Kleine	4,713,004 A 4,714,469 A	12/1987	
4,059,115 A 11/1977	Jumashev et al.	4,721,103 A		Freedland
	Eatherly et al.	4,736,738 A		Lipovsek et al.
	Mann et al.	4,743,256 A		Brantigan
	Kawahara et al.	4,743,260 A	5/1988	
	Berner et al.	4,759,766 A		Buettner-Janz et al.
	Stravropoulos et al.	4,759,769 A	7/1988	Hedman et al.
	Broemer et al.	4,769,881 A	9/1988	Pedigo et al.
	Herbert Grell et al.	4,781,591 A	11/1988	
	Holmes	4,790,303 A	12/1988	
	Schulman et al.	4,805,602 A		Puno et al.
4,206,516 A 6/1980		4,820,305 A		Harms et al.
	Tomonaga et al.	4,830,000 A	5/1989	
D257,511 S 11/1980		4,834,757 A	5/1989 7/1989	Brantigan
	Schulman	4,848,327 A 4,851,008 A		Johnson
4,237,948 A 12/1980	Jones et al.	4,863,476 A		Shepperd
4,258,716 A 3/1981	Sutherland	4,863,477 A		Monson
	Hirabayashi et al.	4,865,603 A	9/1989	
4,262,369 A 4/1981		4,877,020 A	10/1989	
	Evans et al.		11/1989	Brantigan 623/17.11
	Lassiter	4,903,882 A	2/1990	Long
4,289,123 A 9/1981 4,293,962 A 10/1981		4,904,260 A		Ray et al.
4,309,777 A 1/1982		4,904,261 A		Dove et al.
	Sutter et al.	4,911,718 A		Lee et al.
	Jeffcoat et al.	4,913,144 A		Del Medico
	Perrett et al.	4,936,848 A	6/1990	
4,349,921 A 9/1982		4,943,291 A		Tanguy
	Guillemin et al.	4,955,885 A		Meyers Frey et al.
4,401,112 A 8/1983	Rezaian	4,955,908 A 4,957,495 A	9/1990	
4,405,319 A 9/1983	Cosentino	4,960,420 A		Goble et al.
	Hirshorn et al.	4,961,740 A *		Ray et al 606/247
	Otte et al.	4,968,316 A		Hergenroeder
4,439,152 A 3/1984		4,969,888 A		Scholten et al.
	Fischer	4,987,904 A	1/1991	
	Sutter et al.	5,015,247 A		Michelson
	Belykh et al.	5,015,255 A *		Kuslich 128/898
	Nicholson et al. Bagby	5,026,373 A		Ray et al.
	Kambara et al.	5,030,236 A	7/1991	
RE31,865 E 4/1985		5,055,104 A *		Ray 606/247
	Duarte	5,059,193 A	10/1991	•
	Anderson et al.	5,062,845 A		Kuslich et al.
	Ashman et al.	5,071,437 A	12/1991	
	Rowe, Jr. et al.	5,084,050 A		Draenert
	•	*		

5,102,414 A 4/1	992 Kirsch	GB	2 164 277 A	3/1986
	992 Green et al.	JP	57-29348	2/1982
	992 Krevolin et al.	JP	60-31706	2/1985
	992 Cadwell	JP	60-43984	3/1985
	1992 Keller	JP	61-122859	6/1986
	992 Pisharodi	JP	62-155846	7/1987
	1992 Brekke 1992 Pisharodi	SE SU	106 101 1107854	7/1939 8/1984
	993 Brantigan 623/		1124960	11/1984
	1993 Graham	SU	1217374	3/1986
	993 Salib et al.	SU	1222254	4/1986
	1993 Bagby	WO	WO 84/01298	4/1984
	994 Baumann et al.	WO	WO 91/06266	5/1991
	994 Kaplan	WO	WO 92/14423	9/1992
	994 Nickerson et al. 994 Wagner et al.	WO	WO 93/01771	2/1993
	1994 Goble et al.		OTHER PUI	BLICATIONS
	994 Shapiro			
	994 Goble et al.	Herkowi	tz, et al.; Principles of B	one Fusion; The Spine, Third Edi-
	994 Lin	tion; Cha	pter 44, p. 1739.	
	1994 Lowery et al.	Muschle	r, et al.; The Biology of S _l	oinal Fusion; Spinal Fusion Science
	994 Stone et al.	and Tech	nique, Cotler and Cotler	, pp. 9-13.
	1994 Baumgartner 1995 Sheridan	Zindrick	, et al.; Lumbar Spine Fi	usion: Different Types and Indica-
	1995 McGuire et al.	tions; Th	e Lumbar Spine, vol. 1, S	Second Editon, pp. 588-593 (1996).
	1995 Kagan et al.	Gillingh	am, F.J., et al., Automati	c Patient Monitoring in the Ward;
	995 Mittelmeier et al.	Brit. J. S	urg., vol. 53, No. 10, pp.	864-866 (Oct. 1966).
	995 Kozak et al.	Maloney	, A.F.J., et al.; Clinical	and Pathological Observations in
	995 Brantigan	Fatal He	ad Injuries, Brit. J. Surg	g., vol. 56, No. 1, pp. 23-31 (Jan.
	995 O'Brien	1969).		
	1995 Steffee 1995 Kuslich et al.	Harris, P	, et al.; Spinal Deformity	After Spinal Cord Injury; Paraple-
	1996 Kuslich et al.	gia, vol.	6, No. 4, pp. 232-238 (F	eb. 1969).
	996 Kuslich et al.	Gillingh	nm, F.J., et al.; Head inju	ries; Proceedings of the 18th World
	996 Bertagnoli	Congress	s of the International Col	lege of Surgeons, Rome, pp. 68-71
	996 Kuslich		-31, 1972).	
	997 Zdeblick et al.			ephalomenigoceles; Brit. J. Surg.,
	997 Godefroy et al.		No. 6, pp. 261-270 (Apr.	
	1998 Henry et al. 1998 Zdeblick et al.			ollowing Trauma; Brit. J. Surg., vol.
	1998 Koros et al.		5, pp. 496-498 (Jun. 197.	-
	1998 Schafer et al.			rome in Horses (the Ataxic Horse);
	999 Pavlov et al.		County Medical Society	
	2000 Nies et al.	-	•	the Spine; Atlas of Orthopaedic
7,534,254 B1 5/2	2009 Michelson			Sauders Co., Philadelphia (1979).
	2010 Michelson			egrated Titanium Implants; Acta.
	2011 Michelson		Scand.; vol. 52:55-170 (-
2002/0138144 A1 9/2	2002 Michelson			tionsmoglichkeiten des Unterkief-
FOREIGN P	ATENT DOCUMENTS			ch Tumorresektionen; Der Chirug
			59-467 (1982).	
DE 24 46 039	4/1975			urgery; Springer-Velag/Wien, New
DE 29 10 627 DE 31 01 333	9/1980 A1 12/1981	York (19		
DE 31 32 520				Bovine Steel Baskets; Transac-
DE 35 05 567				Orthopaedic Research Society, vol.
DE 36 08 163	A1 9/1987		, Mar. 8-10, 1983.	•
DE 41 04 359				eningoceles in Association with
DE 43 02 397			romatosis; Neurosurger	y, vol. 13, No. 1, pp. 82-84 (Jul.
EP 0 077 159 EP 0 162 005	4/1983 11/1985	1983).		
EP 0 179 695	4/1986			er for Anterior Cervical Interbody
EP 0 260 044	3/1988		. Neurosurg. 61:793-794	
EP 0 303 241				entry Cervical Spreader and Dowel
EP 0 307 241	3/1989			vol. 70, FASC. 1-2 (1984).
EP 0 499 465				anium-coated Hollow Screw and
EP 0 551 187 EP 0 577 179				Bridging of Lower Jaw Defects; J.
EP 0 577 179 EP 0 599 419			xillofac Surg. 42:281-29 ch Jose M.: Anterior	Cervical Interbody Fusion with
EP 0 732 093				eurosurg 63:750-753 (Nov. 1985).
ES 283078	5/1985		•	erplattung der Halswirbeisäule mit
FR 2 295 729	7/1976			m aus Titanium, Der Chirurg, vol.
FR 2 581 336	11/1986		02-707 (1986) with Eng	
FR 2 703 580	10/1994 10/1972			Illitates Spinal Fusion; Orthopedics
GB 1 291 470 GB 1 492 990	10/1972 11/1977	~ .	ol. 7, No. 10 (Oct. 1987)	-
GB 1 492 990 GB 1 531 487	11/1977	•		cal Analysis of a New Method for
GB 2 076 657				Symposium, American Society of
GB 2 083 754	3/1982		_	s in Bioengineering", Boston, MA
GB 2 126 094	A 3/1984	(Dec. 13	-18, 1987).	

Crawley et al.; A Modified Cloward's Technique for Arthrodesis of the Normal Metacarpophalangeal Joint in the Horse; Veterinary Surgery, vol. 17, No. 3, pp. 117-127 (1988).

Raveh, J., et al.; Surgical Procedures for Reconstruction of the Lower Jaw Using the Titanium-Coated Hollow-Screw Reconstruction Plate System: Bridging of Defects; Otolaryngologic Clinics of North America; vol. 20, No. 3 (Aug. 1987).

Whatmore, W. J.; Proceedings of the Society of British Neurological Surgeons; Journal of Neurology, Neurosurgery, and Psychiatry, 50:1093-1100 (1987).

Goldthwaite, N., et al.; Toward Percutaneous Spine Fusion; Ch. 45; Lumbar Spine Surgery; C.V. Mosby Company, pp. 512-522 (1987). Bagby, G.W.; Arthrodesis by the Distraction-Compression Method Using a Stainless Steel Implant; Orthopedics, vol. II, No. 6, pp. 931-934 (Jun. 1987).

Itoman, M., et al.; Banked Bone Grafting for Bone Defect Repair—Clinical Evaluation of Bone Union and Graft Incorporation; J. Jpn. Orthop. Assoc. 62:461-469 (1988).

Kane, W.J.; Direct Current Electrical Bone Growth Stimulation for Spinal Fusion; Spine, vol. 13, No. 3, pp. 363-365 (Mar. 1988).

The SpF-T Spinal Fusion Stimulator: An Efficacious Adjunct that Meets the Diverse Needs of Spine Patients; EBI Medical Systems; (Aug. 1991).

Schmitz et al.; Performance of Alioplastic Materials and Design of an Artificial Disc; The Artificial Disc, Brock, Mayer, Weigel; pp. 23-34 (1991).

The Use of Direct Current for Electrically Induced Osteogenesis; The Positive Effect of an Electronegative charge on Bone Growth; EBI Medical Systems (Feb. 1993).

Mylonas, C., et al.; Anterior Cervical Decompression and Fusion Using the Coventry Cervical Spreader and Dowel Inserter; British Journal of Neurosurgery, 7:545-549 (1993).

Fusion of the Lumbar Spine; Anterior Monosegmental Fusion L5-S1, Atlas of Spinal Operations, Thieme, pp. 270-274 (1993).

Spine Basics, Danek Group, Inc., Glossary (1993).

Lumbar Spine Surgery, Techniques & Complications; History of Lumbar Spine Surgery (1994) pp. 11-15; 27; 30; 35-45; 265-268. European Search Report dated Aug. 4, 1999.

Cloward, Ralph B.; Surgical Techniques for Lumbar Disc Lesions; Codman; Signature Series 3.

Cloward, Ralph B.; Ruptured Cervical Intervertebral Discs: Removal of Disc & Osteophytes & Anterior Cervical Interbody Fusion (A.C. I.F.); Codman; Signature Series 4.

Cloward, Ralph B.; Recent Advances in Surgery of the Cervical Spine; pp. 285-293; German Society For Neurosurgery: vol. 2 Cervical Spine Operations; Excerpta Medica.

Hutter, Charles George; Spinal Stenosis and Posterior Lumbar Interbody Fusion; pp. 103-114; Clinical Orthopaedics and Related Research; No. 193; The Association of Bone and Joint Surgeons. Lin, Paul M.; Posterior Lumbar Interbody Fusion; pp. 114-122; Charles C. Thomas; Springfield, Illinois.

Lin, Paul M.; Lumbar Interbody Fusion: Principles and Techniques in Spine Surgery; Techniques and Complications; pp. 81, 98, 120, 146, 173, 180-184, 204, 224, 225, 231; Aspen Publishers, Inc.; 1989.

Tan, S.B.; A Modified Technique of Anterior Lumbar Fusion with Femoral Cortical Allograft; pp. 83-93; The Journal of Orthopaedic Suroical Techniques, vol. 5, No. 3, 1990.

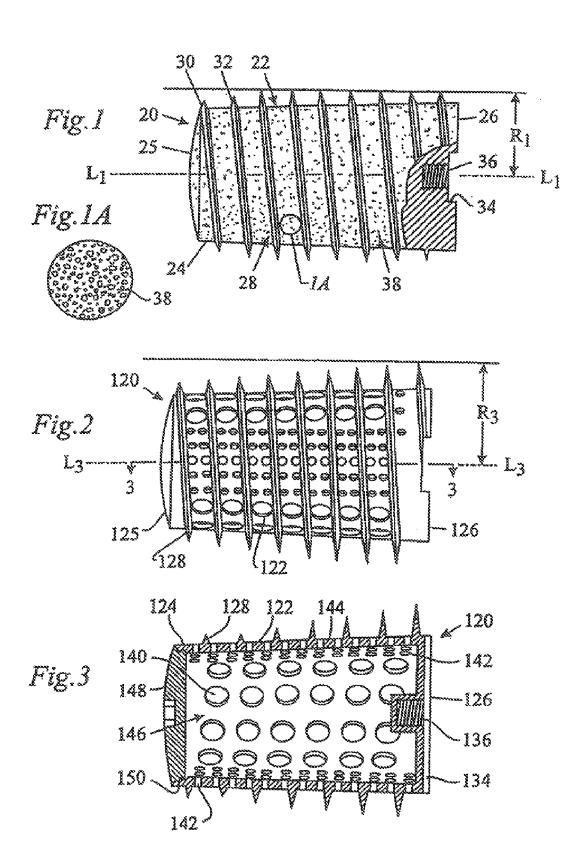
Muller, M.E.; Manual of Internal Fixation: Techniques Recommended by the AO Group; Second Edition, Expanded and Revised; pp. 3-20, 27-41, 53-58, 71-78, 94, 311, 320; Springer-Verlag; 1979. Hierholzer, G.; Manual on the AO/ASIF Tubular External Fixator; pp. 85-91; Springer-Verlag; 1985.

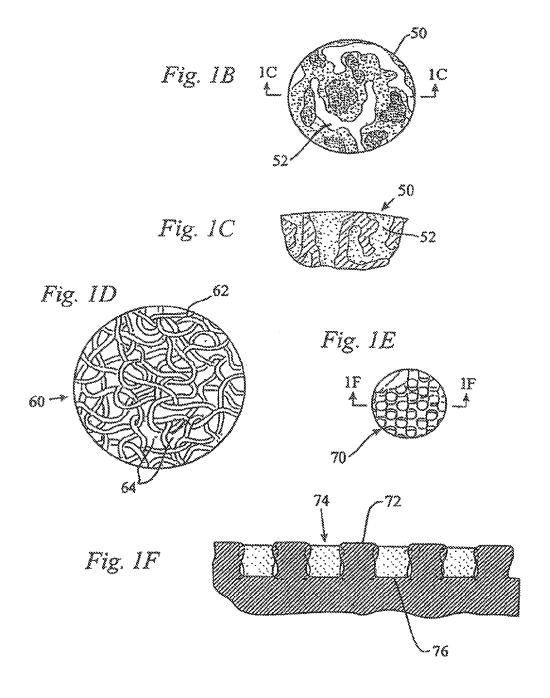
Helm, Urs, Small Fragment Set Manual: Technique Recommended by the ASIF-Group; pp. 5-7, 10, 20, 21, 30; Springer-Verlag, 1974. Harmon, Paul H.; Anterior Excision and Vertebral Body Fusion Operation for Intervertebral Disk Syndromes of the Lower Lumbar Spine: Three- to Five-Year Results in 244 Cases; pp. 107-127, Clinicai Orthopaedics and Related Research, No. 26, J.B. Lippincott. Harmon, Paul H.; A Simplified Surgical Technic for Anterior Lumbar Diskectomy and Fusion; Avoidance of Complications; Anatomy of the Retroperitoneal Veins; pp. 130-143; Clinical Orthopaedics and Related Research, No. 37, J.B. Lippincott Company, 1964.

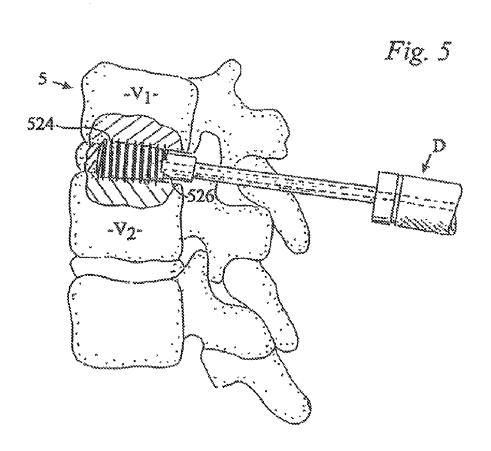
Bullough, Peter G.; Atlas of Spinal Diseases; Figure 5.7; J.B. Lippencott Company; 1988.

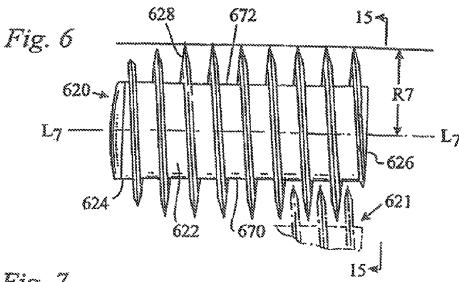
Canale, S. Terry; Campbell's Operative Orthopaedics; vol. 3, 9th Edition; pp. 2191, 2216, 2459; Mosby, 1998.

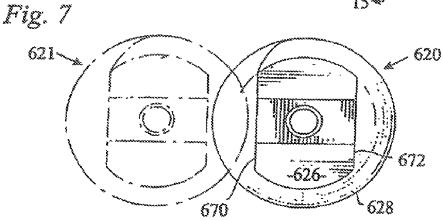
^{*} cited by examiner

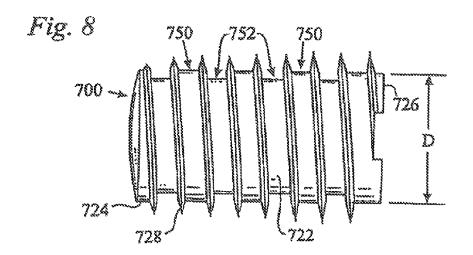












THREADED FRUSTO-CONICAL SPINAL **IMPLANTS**

The present application is a continuation of application Ser. No. 12/942,671, filed Nov. 9, 2010 now U.S. Pat. No. 8,057, 475; which is a continuation of application Ser. No. 12/454, 393, filed May 18, 2009, now U.S. Pat. No. 7,828,800; which is a continuation of application Ser. No. 08/480,908, filed Jun. 7, 1995, now U.S. Pat. No. 7,534,254; which is incorporated herein by reference.

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates generally to interbody spinal 15 fusion implants, and in particular to spinal fusion implants configured to restore and maintain two adjacent vertebrae of the spine in anatomical lordosis.

Description of the Prior Art

Interbody spinal fusion refers to the method of achieving 20 bony bridging between adjacent vertebrae through the disc space, the space between adjacent vertebrae normally occupied by a spinal disc. Numerous implants to facilitate such a fusion have been described by Cloward, Brantigan, and others, and are known to those skilled in the art. Generally, 25 cylindrical implants offer the advantage of conforming to an easily prepared recipient bore spanning the disc space and penetrating into each of the adjacent vertebrae. Such a bore may be created by use of a drill. It is an anatomical fact that both the cervical spine and the lumbar spine are normally 30 lordotic, that is convex forward. Such alignment is important to the proper functioning of the spine. Commonly, those conditions which require treatment by spinal fusion are associated with a loss of lordosis.

Therefore, there exists a need for spinal fusion implants 35 that permit for the restoration of anatomical lordosis.

SUMMARY OF THE INVENTION

The present invention is directed to a variety of interbody 40 spinal fusion implants having at least a partially frusto-conical configuration. In the preferred embodiment, the spinal fusion implants of the present invention have a body that is partially or fully frusto-conical shape substantially along the portion of the implant in contact with the adjacent vertebrae 45 of the spine. The spinal fusion implants of the present invention have an external thread for engaging the adjacent vertebrae of the spine and have an insertion end and a trailing end. The external thread may have a variable or constant thread radius and/or a constant or variable thread height measured 50 fusion implant that is easily inserted into the spine, having a from the body of the implant.

The spinal fusion implants of the present invention may be further modified so that while the upper and lower surfaces are portions of a frusto-cone, at least one side portion may be truncated to form a planar surface that is parallel to the central 55 longitudinal axis of the implant to form straight walls. These implants may have a more tapered aspect at the insertion end of the implant to facilitate insertion. The spinal fusion implants of the present invention may be relatively solid and/or porous and/or hollow, and may have surface roughen- 60 a spinal fusion implant that is self stabilizing within the spine; ings to promote bone ingrowth and stability.

The spinal fusion implants of the present invention may have wells extending into the material of the implant from the surface for the purpose of holding fusion promoting materials and to provide for areas of bone ingrowth fixation. These 65 wells, or holes, may pass either into or through the implant and may or may not intersect. The spinal fusion implants of

2

the present invention may have at least one chamber which may be in communication through at least one opening to the surface of the implant. Said chamber may have at least one access opening for loading the chamber with fusion promoting substances. The access opening may be capable of being closed with a cap or similar means.

The spinal fusion implants of the present invention offer significant advantages over the prior art implants:

- 1. Because the spinal fusion implants of the present invention are at least partially frusto-conical in shape, those that taper from the leading edge to the trailing edge are easy to introduce and easy to fully insert into the spinal segment to be fused. In another embodiment, where the trailing edge of the implant is larger than the leading edge, the implant utilizes a tapered forward portion and an increasing thread height relative to the body from the leading edge to the trailing edge to facilitate insertion.
- 2. The shape of the implants of the present invention is consistent with the shape of the disc, which the implants at least in part replace, wherein the front of the disc is normally taller than the back of the disc, which allows for normal lordosis. The implants of the present invention are similarly taller anteriorly than they are posteriorly.
- 3. The spinal fusion implants of the present invention conform to a geometric shape, which shape is readily producible at the site of fusion, to receive said spinal fusion implants.

The spinal fusion implants of the present invention can be made of any material appropriate for human implantation and having the mechanical properties sufficient to be utilized for the intended purpose of spinal fusion, including various metals such as cobalt chrome, stainless steel or titanium including its alloys, various plastics including those which are bioabsorbable, and various ceramics or combination sufficient for the intended purpose. Further, the spinal fusion implants of the present invention may be made of a solid material, a mesh-like material, a porous material and may comprise, wholly or in part, materials capable of directly participating in the spinal fusion process, or be loaded with, composed of, treated of coated with chemical substances such as bone, morphogenic proteins, hydroxyapatite in any of its forms, and osteogenic proteins, to make them bioactive for the purpose of stimulating spinal fusion. The implants of the present invention may be wholly or in part bioabsorbable.

OBJECTS OF THE PRESENT INVENTION

It is an object of the present invention to provide a spinal tapered leading end;

It is another object of the present invention to provide a spinal fusion implant that tapers in height from one end to the other consistent with the taper of a normal spinal disc;

It is yet another object of the present invention to provide a spinal fusion implant that is capable of maintaining anatomic alignment and lordosis of two adjacent vertebrae during the spinal fusion process;

It is still another object of the present invention to provide

It is yet another object of the present invention to provide a spinal fusion implant that is capable of providing stability between adjacent vertebrae when inserted;

It is still another object of the present invention to provide a spinal fusion implant that is capable of participating in the fusion process by containing, being composed of, or being treated with fusion promoting substances;

It is further another object of the present invention to provide a spinal fusion implant that is capable of spacing apart and supporting adjacent vertebrae during the spinal fusion process:

It is still further another object of the present invention to 5 provide a spinal fusion implant that is consistent in use with the preservation of a uniform thickness of the subchondral vertebral bone:

It is another object of the present invention to provide a spinal fusion implant having a shape which conforms to an easily produced complementary bore at the fusion site; and

It is a further object of the present invention to provide a frusto-conical spinal fusion implant which may be placed side by side adjacent to a second identical implant across the same disc space, such that the combined width of the two implants is less than sum of the individual heights of each implant.

It is a further object of the present invention to provide a frusto-conical spinal fusion implant which may be placed 20 side by side adjacent to a second identical implant across the same disc space, such that the combined width of the two implants is less than sum of the individual lengths of each implant.

These and other objects of the present invention will 25 become apparent from a review of the accompanying drawings and the detailed description of the drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a side elevational view of the spinal fusion implant of the present invention having a body that is frusto-conical with an external thread having a substantially uniform radius.

FIG. 1A is an enlarged fragmentary view along line 1A of FIG. 1 illustrating the surface configuration of the implant of 35 FIG. 1.

FIG. 1B is an enlarged fragmentary view along line 1A of FIG. 1 illustrating an alternative embodiment of the surface configuration of the implant of the present invention made of a cancellous material.

FIG. 1C is a cross sectional view along lines 1C-1C of FIG. 1B illustrating the alternative embodiment of the surface configuration of the implant of the present invention made of a cancellous material.

FIG. 1D is an enlarged fragmentary view along line 1A of 45 FIG. 1 illustrating an alternative embodiment of the surface configuration of the implant of the present invention made of a fibrous mesh-like material.

FIG. 1E is a fragmentary view along line 1A of FIG. 1 illustrating an alternative embodiment of the surface configuration, of the implant of the present invention comprising a plurality of spaced apart posts.

FIG. 1F is an enlarged fragmentary sectional view along lines 1F-1F of FIG. 1E illustrating the surface configuration of the implant of FIG. 1E.

FIG. 2 is an alternative embodiment of the spinal fusion implant of the present invention having a frusto-conical body with an external thread radius and thread height that are not constant.

FIG. 3 is as cross sectional view along line 3-3 of the 60 implant of FIG. 2.

FIG. 4 is a side elevational view of an alternative embodiment of the spinal fusion implant of the present invention.

FIG. 5 is a side elevational view and partial cut-away of a segment of the spinal column in lordosis showing the spinal 65 fusion implant of FIG. 4 being implanted with a driving instrument from the posterior approach to the spinal column.

4

FIG. **6** is a side elevational view of an alternative embodiment of the spinal fusion implant of the present invention having a frusto-conical body and truncated sides.

FIG. 7 is an end view along line 7-7 of the spinal fusion implant of FIG. 6 shown placed beside a second identical implant shown in hidden line.

FIG. **8** is a side elevational view of an alternative embodiment of the spinal fusion implant of the present invention having a body with an irregular configuration.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring to FIG. 1, a side elevational view of the spinal fusion implant of the present invention generally referred to by numeral 20 is shown. The implant 20 has a body 22 that is frusto-conical in shape such that the body 22 has a diameter (root diameter) that is generally frusto-conical. The body 22 has an insertion end 24 and a trailing end 26. The insertion end 24 may include a tapered portion 25 to facilitate insertion of the spinal implant 20. In the preferred embodiment, when the implant 20 is inserted from the anterior aspect of the spine, the body 22 of the implant 20 has a maximum diameter at a point nearest to the trailing end 26 and a minimum diameter at a point nearest to the insertion end 24.

The implant 20 has an external thread 28 having a substantially uniform radius R₁ measured from the central longitudinal axis L_1 of the implant 20. The outer locus of the external thread 28 (major diameter) has an overall configuration that is substantially parallel to the longitudinal axis L_1 . While the major diameter of the implant 20 is substantially uniform, the external thread 28 may be modified at the leading edge by having initially a reduced thread radius to facilitate insertion of the implant 20 and may also be modified to make the external thread 28 self-tapping. In the preferred embodiment, the external thread **28** has a first thread **30** of a lesser radius than the radius R₁ of the remainder of the external thread 28 to facilitate insertion of the implant 20. The second thread 32 has a greater radius than the first thread 30, but is still shorter than the radius R₁ of the remainder of the external thread 28 which is thereafter of constant radius.

The body 22 is frusto-conical substantially along the portion of the body 22 in contact with the adjacent vertebrae of the spine which allows for creating and maintaining the adjacent vertebrae of the spine in the appropriate angular relationship to each other in order to preserve and/or restore the normal anatomic lordosis of the spine. The substantially uniform radius R₁ of the external thread 28 of the implant 20 allows engaging the bone of the adjacent vertebrae in a position that counters the forces which tend to urge the implant 20 from between the adjacent vertebrae in the direction opposite to which the implant 20 was implanted. The greater thread height measured from the body 22 near the leading end 24 of the implant 20 provides greater purchase into the vertebral bone and again enhances the stability of the implant 20. Further, the configuration of the external thread 28 increases the surface area of the implant 20 in contact with the vertebrae to promote bone ingrowth.

The implant 20 has a recessed slot 34 at its trailing end 26 for receiving and engaging insertion instrumentation for inserting the implant 20. The recessed slot 34 has a threaded opening 36 for threadably attaching the implant 20 to instrumentation used for inserting the implant 20.

Referring to FIG. 1A, the implant 20 has an outer surface 38 that is porous to present an irregular surface to the bone to promote bone ingrowth. The outer surface 38 is also able to hold fusion promoting materials and provides for an

increased surface area to engage the bone in the fusion process and to provide further stability. The pores of the outer surfaces 38 are microscopic in size having a diameter that is less than 1 mm, in the range of 50-1000 microns, with 250-500 microns being the preferred diameter. It is appreciated 5 that the outer surface 38, and/or the entire implant 20, may comprise any other porous material or roughened surface sufficient to hold fusion promoting substances and/or allow for bone ingrowth and/or engage the bone during the fusion process. The implant 20 may be further coated with bioactive 10 fusion promoting substances including, but not limited to, hydroxyapatite compounds, osteogenic proteins and bone morphogenic proteins. The implant 20 is shown as being solid, however it is appreciated that it can be made to be substantially hollow or hollow in part.

5

Referring to FIG. 1B, an enlarged fragmentary view along line 1A of FIG. 1 illustrating an alternative embodiment of the surface configuration 38 of the implant of the present invention made of a cancellous material is shown. The cancellous material **50**, similar in configuration to human cancellous 20 bone, having interstices 52 such that the outer surface 38 has a configuration as shown in FIGS. 1B and 1C. As the implant of the present invention may be made entirely or in part of the cancellous material 50, the interstices 52 may be present in the outer surface 338 and/or within the entire implant to 25 promote bone ingrowth and hold bone fusion promoting materials.

Referring to FIG. 1D, an enlarged fragmentary view along line 1A of FIG. 1 illustrating an alternative embodiment of the surface configuration of the implant of the present invention 30 made of a fibrous mesh-like material is shown. The mesh-like material 60 comprises strands 62 that are formed and pressed together such that interstices 64, capable of retaining fusion promoting material and for allowing for bone ingrowth, are present between the strands in at least the outer surface 38 of 35 implant of the present invention.

Referring to FIGS. 1E and 1F, a fragmentary view along line 1A of FIG. 1 illustrating an alternative embodiment of the surface configuration 38 of the implant of the present invention comprising a plurality of spaced apart posts 70 is shown. 40 The posts 70 have a head portion 72 of a larger diameter than the remainder of the posts 70, and each of the interstices 74 is the reverse configuration of the posts 72, having a bottom 76 that is wider than the entrance to the interstices 74. Such a configuration of the posts 70 and interstices 74 aids in the 45 retention of bone material in the surface 38 of the implant and further assists in the locking of the implant into the bone fusion mass created from the bone ingrowth. As the bone ingrowth at the bottom 76 of the interstices is wider than the entrance, the bone ingrowth cannot exit from the entrance and 50 is locked within the interstice 74. The surface of the implant provides for an improvement in the available amount of surface area which may be still further increased by rough finishing, flocking or otherwise producing a non smooth surface.

diameter in the range of approximately 0.1-2 mm and a height of approximately 0.1-2 mm and are spaced apart a distance of approximately 0.1-2 mm such that the interstices 74 have a width in the range of approximately 0.1 to 2 mm. The post sizes, shapes, and distributions may be varied within the same 60

In the preferred embodiment, for use in the lumbar spine, the implant 20 has an overall length in the range of approximately 24 mm to 32 mm with 26 mm being the preferred length. The body 22 of the implant 20 has a root diameter at 65 the insertion end 24 in the range of 8-20 mm, with 14-16 mm being the preferred root diameter at the insertion end, and a

root diameter at the trailing end 26 in the range of 10-24 mm, with 16-18 mm being the preferred diameter at the trailing end 26, when said implants, are used in pairs. When used singly in the lumbar spine, the preferred diameters would be larger.

In the preferred embodiment, the implant 20 has a thread radius R₁ in the range of 6 mm to 12 mm, with 9-10 mm being the preferred radius R₁. For use in the cervical spine, the implant 20 has an overall length in the range of approximately 10-22 mm, with 12-14 mm being the preferred length. The body 22 of the implant 20 has a root diameter at the insertion end 24 in the range of 8-22 mm, with 16-18 mm being the preferred root diameter at the insertion end when used singly, and 8-10 mm when used in pairs. The body 22 of the implant 20 has a root diameter at the trailing end 26 in the range of 10-24 mm, with 18-20 mm being the preferred root diameter at the trailing end 26 when used singly, and 10-12 mm when used in pairs; a thread radius, R_1 in the range of approximately 4-12 mm, with 9-10 mm being the preferred radius R₁ when inserted singularly and 5-7 mm when inserted side by side in

Referring to FIG. 2, an alternative embodiment of implant 20 is shown and generally referred to by the numeral 120. The implant 120 has a body 122 similar to body 122 of implant 120 and has an external thread 128 having a radius R₃ measured from the central longitudinal axis L_3 of the implant 120. The thread radius R₃ is not constant throughout the length of the implant 120 and the external thread 128 has a thread height that is also not constant with respect to the body 122 of the implant 120. In the preferred embodiment, the implant 120 has an external thread 128 with a radius R₃ that increases in size from the insertion end 124 to the trailing end 126 of the implant 120.

Referring to FIG. 3, a cross sectional view along line 3-3 of the implant 120 is shown. The implant 120 has an outer wall 144 surrounding an internal chamber 146. The large and small openings 140 and 142 may pass through the outer wall 144 to communicate with the internal chamber 146. The internal chamber 146 may be filled with bone material or any natural bone growth material or fusion promoting material such that bone growth occurs from the vertebrae through the openings 140 and 142 to the material within internal chamber 146. While the openings 140 and 142 have been shown in the drawings as being circular, it is appreciated that the openings 140 and 142 may have any shape, size configuration or distribution, suitable for use in a spinal fusion implant without departing from the scope of the present invention.

The openings 140 and 142 are macroscopic in size having a diameter that is greater than 1 mm. The large openings 140 have a diameter in the range of 206 mm, with the preferred diameter being 3.5 mm; and the small openings have a diameter in the range of 1-2 mm, with 1.5 mm being the preferred

The implant 120 has a cap 148 with a thread 150 that In the preferred embodiment, the posts 70 have a maximum 55 threadably attaches to the insertion end 124 of the spinal fusion implant 120. The cap 148 is removable to provide access to the internal chamber 146, such that the internal chamber 146 can be filled and hold any natural or artificial osteoconductive, osteoinductive, osteogenic, or other fusion enhancing material. Some examples of such materials are bone harvested from the patient, or bone growth inducing material such as, but not limited to, hydroxyapatite, hydroxyapatite tricalcium phosphate; or bone morphogenic protein. The cap 148 and/or the spinal fusion implant 120 may be made of any material appropriate for human implantation including metals such as cobalt chrome, stainless steel, titanium, plastics, ceramics, composites and/or may be made of,

and/or filled, and/or coated with a bone ingrowth inducing material such as, but not limited to, hydroxyapatite or hydroxyapatite tricalcium phosphate or any other osteoconductive, osteoinductive, osteogenic, or other fusion enhancing material. The cap 148 and the implant 120 may be partially or wholly bioabsorbable.

Referring to FIG. 4, a side elevational view of an alternative embodiment of the spinal fusion implant of the present invention generally referred to by numeral 520 is shown. The implant 520 has a body 522 having a root diameter that is 10 frusto conical in the reverse direction as that implant 20 shown in FIG. 1, in order to preserve and/or restore lordosis in a segment of spinal column when inserted from the posterior aspect of the spine. The body 522 has an insertion end 524 and a trailing end 526. In the preferred embodiment, the body 522 to f the implant 520 has a minimum diameter at a point nearest to the trailing end 526 and a maximum diameter at a point nearest to the insertion end 524. The insertion end 524 may have an anterior nose cone portion 530 presenting a tapered end to facilitate insertion.

The implant 520 has an external thread 528 having a substantially uniform radius R_6 measured from the central longitudinal axis L_6 of the implant 520 such that the external diameter of the external thread 528 (major diameter) has an overall configuration that is substantially parallel to the longitudinal axis L_6 . It is appreciated that the thread 528 can have a major diameter that varies with respect to the longitudinal axis L_6 , such that the major diameter may increase from the insertion end 524 to the trailing end 526 or the reverse. The external thread 528 has a thread height measured from the 30 body 522 that increases from the insertion end 524 to the trailing end 526.

Referring to FIG. 5, a segment of the spinal column S is shown with the vertebrae V_1 and V_2 in lordosis and an implant 520 shown being inserted from the posterior aspect of the 35 spinal column S with an instrument driver D. The implant 520 is inserted with the larger diameter insertion end 524 first in order to in initially distract apart the vertebrae V_1 and V_2 which then angle toward each other posteriorly as the implant 520 is fully inserted. It is appreciated that the insertion of 40 implant 520 does not require the adjacent vertebrae V_1 and V_2 to be placed in lordosis prior to insertion, as the full insertion of the implant 520 itself is capable of creating the desired lordotic angular relationship of the two vertebrae V_1 and V_2 .

In the preferred embodiment, for use in the lumbar spine, 45 the implant 520 has an overall length in the range of approximately 24 m 30 mm, with 26 mm being the preferred length. The body 522 of the implant 520 has a root diameter at the insertion end 524 in the range of 12-22 mm, with 16 mm being the preferred root diameter at the-insertion end, and a root 50 diameter at the trailing end 526 in the range of 10-20 mm, with 14 mm being the preferred diameter at the trailing end 526. In the preferred embodiment, the implant 520 has a thread radius R_6 in the range of 6 mm to 12 mm, with 8 mm being the preferred radius R_6 .

Referring to FIG. 6, an alternative embodiment of the spinal fusion implant of the present invention generally referred to by the numeral 620 and a partial fragmentary view of a second identical implant, generally referred to by the numeral 621 are shown. The implant 620 has a body 622 that is 60 partially frusto-conical in shape similar to body 22 of implant 20 shown in FIG. 1, and has an insertion end 624 and a trailing end 626. The body 622 of the implant 620 has truncated sides 670 and 672 forming planar surfaces that are parallel to the longitudinal axis L_7 . In this manner, two implants 620 and 65 621 may be placed side by side, with one of the sides 670 or 672 of each implant with little space between them, such that

8

the area of contact with the bone of the adjacent vertebrae is maximized. It is appreciated that the body 622 may also be cylindrical in shape and have truncated sides 670 and 672.

The implant 620 has an external thread 628 having a radius R_6 measured from the central longitudinal axis L_7 that may be constant, such that the major diameter or outer locus-of the external thread 628 has an overall configuration that is substantially, cylindrical. It is appreciated that the external thread 628 may have a thread radius R_7 that is variable with respect to the longitudinal axis L_7 such that the major diameter or outer locus of the external thread 628 has an overall configuration that is substantially frusto-conical.

Referring to FIG. 7, an end view of the implant 620 placed beside implant 621 is shown. The implant 620 has a thread radius that is substantially constant and has a thread height measured from the body 622 that is greater at the sides 670 and 672. In this manner, two implants 620 and 621 can be placed beside each other with the external thread 628 of each implant interdigitated allowing for closer adjacent placement of the two implants as a result of the substantial overlap of the external thread 628 at the side 670 or 672 of the implants.

Referring to FIG. **8**, an alternative embodiment of the implant of the present invention is shown and generally referred to by the numeral **700**. The implant **700** is similar in configuration to implant **20** shown in FIG. **1**, except that the body **722** has an irregular configuration. The configuration of the body **722** has a root diameter D which is variable in size throughout the length of the implant **700** and, as shown in this embodiment, comprises larger diameter portions **750** and smaller diameter portions **752**. It is appreciated that each of the large diameter portions **750** may be of the same or different diameter and each of the smaller diameter portions **752** may be of the same or different diameter.

The outer surface of the body 722 of implant 720 may be filled with fusion promoting substances such that the smaller diameter portions 752 may hold such fusion promoting substances. If so filled, the composite of the implant 700 and the fusion promoting material could still produce an even external surface of the body 722 if so desired.

While the present invention has been described in detail with regards to the preferred embodiments, it is appreciated that other variations of the present invention may be devised which do not depart from the inventive concept of the present invention. In particular, it is appreciated that the various teachings described in regards to the specific embodiments herein may be combined in a variety of ways such that the features are not limited to the specific embodiments described above.

Each of the features disclosed in the various embodiments and their functional equivalents may be combined in any combination sufficient to achieve the purposes of the present invention as described herein.

I claim:

- 1. A spinal implant for insertion within an implantation space formed into a segment of adjacent vertebral bodies of a human lumbar spine, said implant comprising:
 - a body having a leading end, a trailing end, a mid-longitudinal axis through said leading and trailing ends, a length parallel to the mid-longitudinal axis, and an arcuate sidewall surrounding the mid-longitudinal axis and extending from said leading end to said trailing end, said body having an interior surface and an opposite exterior surface, said arcuate sidewall having at least two apertures extending therethrough, said apertures being in communication with each other;

- a first thread extending from said interior surface of said body proximate said trailing end, said first thread having a major diameter;
- a second thread extending from said interior surface of said body, said second thread being spaced apart from said 5 first thread, said second thread having a major diameter of a different size relative to the major diameter of said first thread; and
- a third thread extending from said exterior surface of said body, said body having a frustoconical configuration 10 along at least a portion of its length and over a plurality of turns of said third thread about the mid-longitudinal axis.
- 2. The implant of claim 1, wherein said implant is configured to space apart the adjacent vertebral bodies.
- 3. The implant of claim 1, wherein said implant is configured to distract apart the adjacent vertebral bodies using an element of rotation.
- **4**. The implant of claim **1**, wherein said third thread has a variable height along each turn of said third thread about the 20 mid-longitudinal axis of said body.
- 5. The implant of claim 4, wherein said third thread has an outer locus with a substantially cylindrical configuration.
- **6.** The implant of claim **1**, wherein an outer locus of one of said threads has a substantially cylindrical configuration.
- 7. The implant of claim 1, wherein said first thread proximate said trailing end has an outer locus with a substantially cylindrical configuration.
- **8**. The implant of claim **1**, wherein said body has the frustoconical configuration along its length over at least eight 30 turns of said third thread about the mid-longitudinal axis.

10

- 9. The implant of claim 1, wherein said body includes a central bore extending from said trailing end along the midlongitudinal axis of said body, said central bore being configured to permit the delivery of bone growth promoting material to said apertures.
- ${f 10}.$ The implant of claim ${f 1},$ wherein said trailing end is closed.
- 11. The implant of claim 1, wherein said leading end includes an aperture centered at the mid-longitudinal axis.
- 12. The implant of claim 1, wherein said body includes a central bore extending from said trailing end along the midlongitudinal axis of said body, said arcuate sidewall having at least one well passing through said body and forming opposed apertures at said exterior surface of said sidewall, said well intersecting said central bore, said central bore being configured to permit delivery of bone growth promoting material to said apertures.
- 13. The implant of claim 12, wherein said leading end includes an aperture centered at the mid-longitudinal axis.
- 14. The implant of claim 12, wherein said arcuate sidewall includes a second well passing through said body and forming opposed apertures at said exterior surface of said sidewall, said second well intersecting said well.
- 15. The implant of claim 1, wherein the major diameter of said first thread proximate said trailing end is less than the major diameter of said second thread.
- **16.** The implant of claim **1**, wherein said first thread proximate said trailing end is configured to threadably engage an instrument to insert said implant into the spine.

* * * * *

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 8,226,652 B2 Page 1 of 1

APPLICATION NO. : 13/296125 DATED : July 24, 2012

INVENTOR(S) : Gary Karlin Michelson

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title Page 3, Item (56), Other Publications

Column 2, line 33: change "Encephalomenigoceles;" to --Encephalomeningoceles;--;

Column 2, line 34: change "No. 6" to --No. 4--;

Column 2, line 35: change "Meninigioma" to --Meningioma--;

Column 2, line 42: change "vol. 52:55-170" to --Vol. 52:155-170--;

Column 2, line 44: change "Der Chirug" to --Der Chirurg--;

Column 2, line 46: change "Springer-Velag/Wien" to --Springer-Verlag/Wien--;

Column 2, line 63: change "Halswirbeisäule" to --Halswirbelsäule--;

Column 2, line 66: change "Facillitates" to --Facilitates--; and

Column 2, line 68: change "Butts, M. L.," to --Butts, M. K.,--.

Title Page 4, Item (56), Other Publications

Column 1, line 24: change "Alioplastic" to --Alloplastic--;

Column 2, line 19: change "Suroical" to --Surgical--;

Column 2, line 25: change "Helm" to --Heim--; and

Column 2, line 30: change "Clinicai" to --Clinical--.

Signed and Sealed this Twelfth Day of March, 2013

Teresa Stanek Rea

Acting Director of the United States Patent and Trademark Office